



**U.S. DEPARTMENT OF JUSTICE**

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Eastern District of Missouri*

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February 4, 2025

Scott Roethle  
7819 W. 158th Court  
Overland Park, Kansas 66223  
Email: stroethle@gmail.com

**Re: *United States v. Scott Roethle*, 4:21-cr-00465-CDP, Expert Disclosures**

Dear Mr. Roethle:

The Government discloses the following summary pursuant to Federal Rule of Criminal Procedure 16(a)(1)(G). The information provided below serves as the Government's notice of its intent to introduce expert testimony from the following individuals: (1) Drew Haskins; (2) Johanna L. Sullivan, Pharm. D.; and (3) Special Agent Mitchell Blum.

**1. Drew Haskins**

The Government intends to call as a witness during its case-in-chief Drew Haskins, Program Integrity Supervisor at CoventBridge Group, which holds the Unified Program Integrity Contractor (UPIC) Midwest contract that was awarded by the Centers for Medicare & Medicaid Services (CMS) in 2016. A copy of Mr. Haskins' Curriculum Vitae is attached to this letter. Also attached to this letter is a list of other cases in which, during the previous 4 years, Mr. Haskins has testified as an expert at trial. Mr. Haskins prepared this list and advised the Government that he used his best efforts to include all testimony that he could recall. Mr. Haskins further advised the Government that, during the previous 4 years, he has not testified as an expert by deposition, and that, in the previous 10 years, he has not authored any publications.

It is anticipated that Mr. Haskins will testify that he is currently employed as the Program Integrity Supervisor at CoventBridge Group. It is further anticipated that Mr. Haskins' testimony will include a description of his training, experience, and qualifications as they pertain to his familiarity with health care coverage under Medicare, specifically Medicare Part B, and to his familiarity with the Anti-Kickback statute.

As it relates to Medicare Part B, it is anticipated that Mr. Haskins will testify regarding the background of Medicare Part B, including funding and terminology of the program and participation in the program. It is further anticipated that Mr. Haskins will testify regarding the administration of Medicare Part B, as well as coverage under Medicare Part B for durable medical equipment (DME) prescriptions for Medicare beneficiaries, including the medical necessity requirements for such coverage. It is also anticipated that Mr. Haskins will testify that Medicare

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Part B will deny claims for DME prescriptions where there was no medical necessity determination conducted by a licensed physician for such prescriptions.

As it relates to the Anti-Kickback statute, it is anticipated that Mr. Haskins will testify regarding the applicability of that statute to claims submitted to Medicare Part B, and whether Medicare Part B covers claims, including claims based on DME prescriptions, that were procured through kickbacks. It is further anticipated that Mr. Haskins will testify that Medicare Part B will deny claims for DME prescriptions which were the result of Anti-Kickback statute violations.

Mr. Haskins' anticipated testimony will be based on his individualized training and experience, including his training and experience as an employee at CoventBridge Group, as well as his familiarity with Medicare Part B rules, protocols, and procedures.

**SIGNATURE:** *Drew Haskins*

**2. Johanna L. Sullivan, Pharm. D.**

The Government intends to call as a witness during its case-in-chief Johanna L. Sullivan, Pharm. D., Director of Clinical Operations – Investigations Medicare Drug Integrity Contract (I-MEDIC) at Qlarant. A copy of Ms. Sullivan's Curriculum Vitae is attached to this letter and includes a list of all publications that she has authored in the previous 10 years. Also attached to this letter is a list of other cases in which, during the previous 4 years, Ms. Sullivan has testified as an expert at trial. Ms. Sullivan prepared this list and advised the Government that she used her best efforts to include all testimony that she could recall. Ms. Sullivan further advised the Government that, during the previous 4 years, she has not testified as an expert by deposition.

It is anticipated that Ms. Sullivan will testify that she has a Doctor of Pharmacy degree from the University of Florida College of Pharmacy and that she is currently employed as the Director of Clinical Operations – Investigations Medicare Drug Integrity Contract (I-MEDIC) at Qlarant. It is further anticipated that Ms. Sullivan's testimony will include a description of her education, training, experience, and qualifications as they pertain to her familiarity with health care coverage under Medicare, specifically Medicare Part C and Medicare Part D, and to her familiarity with the Anti-Kickback statute.

As it relates to Medicare Part C, it is anticipated that Ms. Sullivan will testify regarding the background of Medicare Part C, including funding and terminology of the program and participation in the program. It is further anticipated that Ms. Sullivan will testify regarding the administration of Medicare Part C through Medicare Advantage programs, as well as coverage under those programs for genetic tests for Medicare Advantage beneficiaries. It is also anticipated that Ms. Sullivan will testify that Medicare Advantage programs would deny claims for genetic tests if they knew that they were not ordered by qualified health professionals based on the genuine medical need of beneficiaries.

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As it relates to Medicare Part D, it is anticipated that Ms. Sullivan will testify regarding the background of Medicare Part D, including funding and terminology of the program and participation in the program. It is further anticipated that Ms. Sullivan will testify regarding the administration of Medicare Part D through private plan sponsors, as well as coverage under Medicare Part D for topical cream prescriptions for Medicare beneficiaries. It is also anticipated that Ms. Sullivan will testify that Medicare Part D sponsors will deny claims for prescriptions if they knew that they were not ordered by qualified health professionals based on the genuine medical need of beneficiaries.

As it relates to the Anti-Kickback statute, it is anticipated that Ms. Sullivan will testify regarding the applicability of that statute to claims submitted to Medicare Advantage programs and Medicare Part D, and whether Medicare Advantage programs and Medicare Part D cover claims, including claims based on genetic tests and topical cream prescriptions, that were procured through kickbacks. It is further anticipated that Ms. Sullivan will testify that Medicare Advantage Programs and Medicare Part D will deny claims for prescriptions if they knew that they were the result of Anti-Kickback statute violations.

Ms. Sullivan's anticipated testimony will be based on her individualized education, training, and experience, including her training and experience as an employee at Qlarant, as well as her familiarity with Medicare Part C and Medicare Part D rules, protocols, and procedures.

**SIGNATURE:** Johanna Sullivan

### **3. Special Agent Mitchell Blum**

The Government intends to call as a witness during its case-in-chief Special Agent Mitchell Blum, U.S. Department of Health and Human Services – Office of Inspector General. A copy of Special Agent Blum's Curriculum Vitae is attached to this letter. Special Agent Blum advised the Government that, during the previous 4 years, he has not testified as an expert at trial or by deposition. Special Agent Blum further advised the Government that, in the previous 10 years, he has not authored any publications.

It is anticipated that Special Agent Blum will testify that he is currently employed as a Special Agent at the U.S. Department of Health and Human Services – Office of Inspector General. It is further anticipated that Special Agent Blum's testimony will include a description of his education, training, experience, and qualifications as they pertain to his familiarity with the operations, methods, patterns, and practices of medical clinics, physicians, and DME suppliers with respect to orders for, the provision of, and billing Medicare for DME, cancer screening tests, and topical cream prescriptions in a legitimate setting, as well as the operations, methods, patterns, and practices of medical clinics, physicians, and DME suppliers involved in fraudulent schemes relating to the same.

It is anticipated that Special Agent Blum will testify regarding the process by which a Medicare beneficiary is prescribed DME (specifically orthotic braces) in a legitimate setting,

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including examinations by the beneficiary's primary care physician over time, the primary care physician's determination of medical necessity for the DME prescription, and the progression of the beneficiary's treatment toward the DME prescription. It is also anticipated that Special Agent Blum will testify regarding the process by which a Medicare beneficiary obtains DME (specifically orthotic braces) in a legitimate setting, including the beneficiary's selection of a DME supplier and visit to that supplier, the DME supplier's role in choosing the DME for the beneficiary, the DME supplier's obligations with respect to maintaining documentation in its files, and the DME supplier's submission of billings to Medicare after the supplier's receipt of the prescription and supporting medical documentation and the supplier's completion of delivery of the DME to the beneficiary. It is further anticipated that Special Agent Blum will testify regarding the characteristics of legitimate DME suppliers, including having large numbers of referring physicians, billing multiple types of health insurance (including Medicare), maintaining multiple types and large quantities of DME in inventory, and selling multiple types and comparable quantities of DME (not exclusively orthotic braces).

It is anticipated that Special Agent Blum will testify regarding the solicitation of Medicare beneficiaries by telemarketers who recruit the beneficiaries on behalf of fraudulent telehealth medical clinics (as opposed to the beneficiaries' primary care physicians), including the recruitment techniques used, the geographic areas of recruitment, the types of beneficiaries who are targeted and the reasons why such beneficiaries are targeted, the types of information obtained from the beneficiaries by the telemarketers, and the telemarketers' receipt of kickbacks for such marketing activities. It is also anticipated that Special Agent Blum will testify regarding the operation of fraudulent telehealth medical clinics, including the use of the recruited beneficiaries' Medicare information, the recruitment, employment, and compensation of physicians, the physicians' contact (minimal to often times none) with the recruited beneficiaries to determine medical necessity for DME (specifically orthotic braces), the physicians rapid issuance of medically unnecessary DME prescriptions for the recruited beneficiaries, and the payment of kickbacks to the telemarketers for recruiting the beneficiaries. It is further anticipated that Special Agent Blum will testify regarding the characteristics of patient files at fraudulent telehealth medical clinics, including narrow width (because there is no progressive treatment toward prescription for DME), the lack of diagnoses justifying or false diagnoses for the purpose of justifying medical necessity for DME, and the frequency of DME (specifically orthotic braces) prescriptions (one or more for nearly every patient). Finally, it is anticipated that Special Agent Blum will testify regarding the operation and characteristics of fraudulent DME suppliers, including the relatively low number of referring physicians, the volume of referrals from the same referring physicians, the volume of claims to Medicare compared to other health insurance, the emphasis on orthotic braces with respect to the types and quantities of DME in inventory and the types and quantities of DME sold, the low volume of customer foot traffic because the recruited beneficiaries do not visit the suppliers, the submission of billings to Medicare before the suppliers delivery of the DME to the beneficiaries, and the lack of all required documentation in the suppliers' files.

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Special Agent Blum's anticipated testimony will be based on his individualized education, training, and experience, including his training and experience as a Special Agent at the U.S. Department of Health and Human Services – Office of Inspector General.

**SIGNATURE:** Mitchell Blum

In addition to providing notice of the Government's intent to call expert witnesses, please allow this letter to serve as another request, pursuant to Federal Rule of Criminal Procedure 16(b)(1)(C), for:

A written summary of any testimony that the defendant intends to use under Federal Rule of Evidence 702, 703, or 705 during the defendant's case-in-chief at trial.

This summary must describe the witness's opinions, the bases and reasons for those opinions, the witness's qualifications, including a list of all publications authored in the previous 10 years, and a list of all other cases in which, during the previous four years, the witness has testified as an expert at trial or by deposition.

Please note that this serves only as a preliminary expert witness disclosure. The United States reserves the right to amend or make additions to this expert disclosure. If the United States chooses to make amendments or additions to this expert disclosure, it will notify you well in advance of trial.

If you need any further information or clarification regarding the above matter, please do not hesitate to call me. Thank you for your cooperation.

Sincerely,

SAYLER A. FLEMING  
United States Attorney



DEREK J. WISEMAN  
Assistant United States Attorney

1352 Wentworth Dr, Gallatin, TN 37066 615.416.0077 drew.haskins2013@gmail.com

# Drew Haskins

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**Objective** Perform in a constructive environment at a high work rate, making every opportunity to serve our customers, employers, and employees, and to seek continuous improvement utilizing the most out of every resource available while efficiently maintaining current performance metrics.

## Professional Highlights

### Program Integrity Supervisor

- Managing and assigning the workload of Program Integrity Analysts (I, II, and IIIs)
- Assign action items and monitor the workload to ensure timeliness metrics on all assigned workload investigations
- Cultivate a team environment that facilitates workload knowledge
- Maintain active communication with management on all active investigations
- Provide updates to the UPICs workload in relation to MACs within our jurisdiction.
- Run data analytics to identify new and trending fraud schemes and providers.

### Program Integrity Analyst II

- Primary workload: investigating Medicare and Medicaid providers for alleged fraudulent activity
- Maintain timeliness metrics on all assigned workload investigations
- Cultivate a team environment that facilitates workload knowledge
- Maintain active communication with supervisor on all active investigations
- Organize and characterize investigations as reported to CMS, OIG, and Medicare and Medicaid contractors.
- Run data analytics to identify fraud schemes and claims selection for medical review.
- Maintain dual accuracy in both UPICMW and CMS systems.
- Interview beneficiaries and Medicare/Medicaid providers to identify potential fraud.
- Travel to ascertain information regarding to alleged fraudulent activity.

### Senior Claims Research Analyst (Medical Review Probe Audit Team Lead)

- Primary workload: leading, training, and organizing audit coordinator team on Medicare medical review and education.
- Create and maintain work instructions and job aids.
- Involved in Continuous Improvement including coordinating with impacted other areas of business
- Reviewed prepayment claim decisions including providing results for Award Fee accuracy
- Training new Project Assistants and Senior Project Assistants, including assistant work with Claims department. I also participate in the interviewing process including giving my decision for new hires and internal hires. Provide feedback on team member performance and workload capacity for employment.
- Testing, developing, and implementation of changes to applications and stored processes.
- Coordinating workload for JB and JC contracts for MR Coordinators, temporary hires, and assisting personal, including providing feedback and if necessary, rework



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# Drew Haskins

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requirements with references to work instructions. This also includes balancing budget requirements for time spent by workload and by contract.

- Liaison role with suppliers, CGS activities, and with other contractors and contracted employees with CGS.
- Monthly and weekly reporting to CMS and other outside contractors (RAC, UPIC) including for QASP and SSAE audits.
- Coordinating MR activity with active UPIC investigations.
- Involved with implementations for MR updates including LEAN, TPE, PATSY, OPTIC, and Widespread Postpay.
- Lead training and meetings for Medical Review updates and quarterly analysis of current TPE activity.

## **Senior Project Assistant (Medical Review Senior Audit Coordinator)**

- Primary workload: leading and training team in coordinating Medicare medical review audits as identified from data analysis or referral with the intent of supplier education.
- Create and maintain work instructions and job aids.
- Reviewed prepayment and postpayment claim decisions including providing results for Award Fee accuracy
- Involved in implementation process for ELMS, overpayment referrals, Jurisdiction B, LEAN, and The Probe and Educate Pilot Program for both Jurisdiction B and C (see CR10073 for JB and Draft CR 10249 for JC).
- Testing, developing, and implementation of changes to applications and stored processes.
- Creating a team environment and delegating workload to other Project Assistants.
- Coordinate changes implemented with the PCA Clinician Leads and partnering departments.
- Training new Project Assistants

## **Project Assistant (Medical Review Probe Audit Coordinator)**

- Primary workload: coordinating Medicare medical review audits as identified from data analysis or referral with the intent of supplier education.
- Reduced workload for numerous reports by maximizing currently available resources
- Coordinated adjustments with Overpayment Recovery following Medical Review postpayment decisions
- Reviewed prepayment and postpayment claim decisions including providing results for Award Fee accuracy
- Reduced waste management by switching to paperless job functions using available resources
- Provided 100% quality work over a year and a half in adhering to customer guidelines on timeliness, effectiveness, and accuracy.
- Involved in testing, developing, and day to day for Microsoft, SAS, JMP, and SQL programming.

## **Appeals Analysts**

- Primary workload: reviewing medical records for first level Medicare appeals, including: adjudication of claims and supplier education via written correspondence.

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# Drew Haskins

- Maintained 100% production goals while reviewing records for multiple policies to determine medical necessity and Medicare coverage criteria met.
- Tester for RADAR letter writing program to ensure that efficiency and effectiveness was maintained while increasing productivity.

## Tier II Customer Service Specialist

- Primary workload: customer service via telephone for Medicare accounts receivable and accounts payable, including bankruptcy, voluntary refunds, withholdings, and offsets.
- Worked for various contracts of Medicare (DME, Part A, Part B, Home Health and Hospice)
- Customer Service on Accounts Payable and Accounts Receivable for Medicare providers, suppliers, and beneficiaries including researching claim decisions for overpayments or offset of overpayment amounts.
- Created, tested, and developed the DebitR application.
- Delegated the entire workload to various departments including training each employee.

## Skills

- SQL Programming
- Training (classroom and mentor)
- Data Management Software
- Microsoft Applications
- Customer Service
- Case Audit Tracking Management
- Delegating Workload
- Letter Writing
- Record Keeping Management
- Medicare/Healthcare Knowledge
- Testing and developing
- Office Appliances
- Onbase And Report Services Reporting
- Work Instruction and Job Aid

## Specific Skills for this application

- I have worked in reviewing, processing, and reporting Medicare processed claims from 2010 to current with a specialty in DME, Medical Review, and FWA.
- Starting as a Senior Project Assistant to today, I create, maintain, and train on job aids and work instructions for Medical Review.
- Starting as a Project assistant for Medical Review to today I have been involved in implementations, testing, reporting, and training others for applications including UCM, NexGen, AdvanceTrack, VMS RUMBA, OnBase, ELMS, CATS, MICE, DebitR, SAS, and JMP and Microsoft Updates.
- Starting with CGS, I have researched Claim Decisions, Appeals Documentations, Accounts Receivable and Accounts Payable, and supplier billing data analysis for the supplier community, CMS, and outside contractors (RAC, UPIC, CERT) in collaboration with other departments.

## Employment History

Program Integrity Supervisor	CoventBridge Group, Grove City, OH	12/01/2023- Current
Program Integrity Analyst II	CoventBridge Group, Grove City, OH	09/01/2021- Current



Senior Claims Research Analyst	CGS Administrators LLC, Nashville, TN	01/15/2018- 08/27/2021
Senior Project Assistant for Claims Medical Review	CGS Administrators LLC, Nashville, TN	07/18/2016- 01/14/2018
Project Assistant for Claims Medical Review	CGS Administrators LLC, Nashville, TN	09/15/2014 – 07/17/2016
Appeals Analyst	CGS Administrators LLC, Nashville, TN	06/24/2013 – 09/14/2014
Tier II Call Customer Service Specialist	CGS Administrators LLC, Nashville, TN	03/05/2012 - 06/24/2013
Call Customer Service Representative Associate	CGS Administrators LLC, Nashville, TN	01/25/2010 - 03/04/2012

### Education

Diploma	Hendersonville High School, Hendersonville, TN	Graduated 05/18/2007
Former Student	Volunteer State Community College	08/2019-05/2020

### References

Julie Barnett - current program integrity deputy manager – 904.586.8282

Pati Bocook – current project manager - 614.277.8451

**DREW HASKINS TESTIMONY LIST**

1. *United States v. Jankowski, et al.*, Case No. 2:17-cr-20401 (E.D. Mich.)
2. *United States v. Aniemeka, et al.*, Case No. 1:17-cv-04011 (N.D. Ill.)

## **Johanna L. Sullivan, Pharm.D.**

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### **EDUCATION**

August 1991-May 1995	Doctor of Pharmacy, with High Honors University of Florida College of Pharmacy Gainesville, Florida
June 1995-June 1996	Specialty Residency in Drug Information Practice Ambulatory Care Specialty Focus The University of Texas Health Science Center at San Antonio and The University of Texas at Austin College of Pharmacy San Antonio, Texas
1997	Certification in Smoking Cessation University of Pittsburgh Smoking Cessation Program

### **LICENSURE**

Registered Pharmacist in the states of Florida and Texas  
Registered Consultant Pharmacist in Florida

### **PROFESSIONAL EXPERIENCE**

In addition to the listed employments below, considerable experience over greater than 25 years as a pharmacist as an independent consultant or contractor in a wide variety of pharmacy professional settings.

#### **Director of Clinical Operations– Investigations Medicare Drug Integrity Contract (I-MEDIC). Contract was originally part of the NBI MEDIC.**

Qlarant – Baltimore, Maryland (Remote Employee)

2019 – Current

- Key Personnel: Pharmacy Expert on Contract for CMS
- Head a team of 25 clinicians working on reviews of medical and drug claim data as well as medical record reviews.
- Clinical Subject Matter Expert for Medications and Pharmacy for Medicare Part D and C data projects and investigations
- Coordination of Pharmacist Review for Internal Investigations
- Coordination of Pharmacist Reviews for External Law Enforcement Requests
- Coordination of Medicare Part D medical record reviews for Medicare Administrative Actions
- Clinical and Subject Matter Expert for Legal Testimony in External Law Enforcement Requests related to clinical subjects, Medicare Part D Policy, and payments.
- Training of new staff, contract, and law enforcement partners
- Extensive writing and communication of complex clinical materials. Serve as main editor for clinical staff on clinical documents for CMS.

#### **Major Projects:**

- Creation of a automated work que for submission of review requests by investigators.
- Creation of an automated time tracker tool for clinical reviews.
- Creation and implementation of an automated invoice review tool to match drugs by NDC level for loss calculations.
- Creation of a Medicare Part B invoice review for drug and injection codes to assist UPIC.

- Implementation of a Level of Evidence Finding for Administrative Revocations for Abusive Prescribing with CMS-PEOG.
- Implementation of a pharmacist review template to improve efficiency of pharmacist reviews.
- Creation of benchmark data and analysis tools in coordination with analytics team to assist in clinical reviews.
- Creation of a Medicare Part C data template to support efficiency in nursing reviews.
- Growth of clinical staff and team to 200% of levels at start of contract by documenting value and need for increased staffing based on value in investigations and external partner support.

**Senior Pharmacist/Pharmacist Lead – National Benefit Integrity Medicare Drug Integrity Contractor (NBI MEDIC)**

Qlarant (formerly Health Integrity) – Baltimore, Maryland (Remote Employee) 2014 - 2018

- Clinical Subject Matter Expert for Medications and Pharmacy for Medicare Part D and C projects and investigations
- Coordination of Pharmacist Review for Internal Investigations
- Coordination of Pharmacist Reviews for External Law Enforcement Requests
- Clinical and Subject Matter Expert for Legal Testimony in External Law Enforcement Requests
- Auditing of Medicare Part D Sponsors for Fraud, Waste, and Abuse Recoveries
- Training of new staff on pharmacist reviews
- Quality review and oversight of pharmacist reviews

**Manager**

Myers & Stauffers LLC – Baltimore, Maryland (Remote Employee) 2013 - 2014

- Pharmacist Support of Medicare Part D Compliance Audit Programs
- Auditing of Medicare Contracts in Formulary and Coverage Determinations, Appeals, and Grievances
- Knowledge expert for pharmacist staff in Coverage Determinations, Appeals, and Grievances

**Senior Consultant – Subcontractor to Myers & Stauffers LLC** 2012 - 2013

IMS Health Government Services – Tampa, Florida (Remote Employee)

- Pharmacist Support of Medicare Part D Compliance Audit Programs
- Auditing of Medicare Contracts in Formulary and Coverage Determinations, Appeals, and Grievances
- Training of new pharmacist staff
- Knowledge expert for pharmacist staff in Coverage Determinations, Appeals, and Grievances

**Clinical Services Manager/Clinical Account Manager** 2010 - 2012

WellDyneRx – Lakeland, Florida

- Restructuring and management of Prior Authorization Department
- Implementation of computerized system for PA department
- Clinical guideline and drug use criteria development
- Clinical account manager responsibilities for all strategic accounts
- Clinical management and compliance for all Medicaid/Medicare accounts
- Ensure clinical and PA compliance with all state and federal regulations for commercial and government payers
- Created opiate management program to facilitate narcotic dose reductions in inappropriately treated patients
- Revision and evaluation of all prospective DUR rules and edits
- Executive clinical management of Disease Management Programs
- Design and implementation of prescriber formulary intervention programs
- Clinical formulary analysis and quarterly reporting for strategic accounts
- Development of value-based formularies for clients
- Clinical and data analysis support for RFP responses

- Created and implemented prescriber report cards
- Management of clinical staff in multiple sites and locations
- Formulary management and presentations to groups with closed formularies

***Major Projects:***

- Implemented computerized system for Prior Authorizations for multi-site locations
- Created opiate management program for clients.
  - Outcomes: client acclaim, patients removed from narcotics, medical licensures under investigation by appropriate authorities
- Key player in team responsible for acquiring the biggest managed care client in company history

**Clinical Pharmacy Infusion Manager**

2008-2009

Healix Infusion Services- Tampa, Florida

- Selection and dosing of cost-effective and clinically effective medication regimens for patients
- Clinical monitoring and dose adjustment of medications
- Ordering and inventory of all medications and supplies
- Marketing of infusion services to health providers and systems
- Patient education and counseling
- Scheduling of patients
- Management of nursing infusion center staff
- Facilitating approval of medications for patients
- Responsible for USP 797 compliance for site

***Major Projects:***

- Opening of brand new licensed infusion pharmacy
- Creation of marketing programs/dinners for health providers and systems

**Clinical Pharmacist**

2005- 2008

Pharmacare/CVS Caremark - Bartow, Florida

Polk County Healthcare Program/Diabetes and Cardiovascular Clinic

- Development of outpatient clinical pharmacy clinic for Polk County Employer Client
- Creation of clinical program for diabetes, hypertension, and smoking cessation
- Monitoring, evaluation, and follow-up of patients in program
- Creation of computerized patient database for monitoring and outcomes
- Data review and statistical analysis of patient outcomes
- Presentation of clinical and data outcomes to client and PBM
- Presentation of clinical and data outcomes at AMCP meeting

***Major Projects/Research:***

- Development from “ground up” of clinic and programs
- Outcomes data analysis and presentations showing cost effectiveness of clinic
- Development of template program to CVS/Caremark for marketing to other clients

**Lead Consultant Pharmacist/Consultant Pharmacist**

2003-2006

PharMerica- Sarasota, Florida

- Member of Quality Assurance Committees at all assigned nursing homes
- Continuous Quality Improvement in Delivery of Services to Nursing Homes
- Implementing Cost Saving Protocols for Nursing Homes
- Patient-Specific Drug Regimen Review
- Consultant Pharmacist Staff Training, Education, and Management (Lead Consultant)
- Hiring and Evaluations of Consultant Pharmacist Staff (Lead Consultant)
- Clinical Account Management and Marketing to Health Coalitions Groups
- Medication-related Regulatory Compliance Oversight with Nursing Homes

- Deployment of National Clinical, Educational and Formulary Initiatives
- Coordination of Formulary Initiatives with Pharmaceutical Partners
- Presentations to Nursing Home Staff (Education)
- Presentation to Nursing Home Management (Quarterly Reports)

***Major Projects/Research:***

- Creation of New Consultant FTE Staffing Model for Pharmacy
- Acetaminophen Toxicity Clinical Initiative
- Pain Management Clinical Review
- Transdermal Narcotic Patch Destruction Policy
- Application of Type IIb pharmacy license for Transitional Living Facility (TLF)

***Teaching:***

- Development of Regular In-services for Nursing Home Staff
- Training and Development of Pharmacy Consultant Staff
- QA Presentations Quarterly Per Nursing Home

**Customer Service Pharmacist**

2002-2003

Merck-Medco Health Services – Tampa, Florida

- Clinical Specialist for Drug Information Questions
- Patient Counseling
- Investigated grievances related to prescription errors

**Director of Pharmacy**

2001-2002

Kindred Hospital-Bay Area Tampa

- Secretary of Pharmacy, Nutrition, and Therapeutics Committee
- Member of Adverse Drug Event Committee
- Member of Critical Care Committee
- Member of Infection Control Committee
- Pharmacy Staff Scheduling (Pharmacists and Technicians)
- Pharmacy Staff Training (Pharmacists and Technicians)
- Hiring and Evaluations of Pharmacy Staff (Pharmacists and Technicians)
- Formulary Development and Management
- Clinical Pharmacy Program Development
- Pharmacy Inventory Management
- Installation and Maintenance of Sure-Med Dispensing Units
- Development and Achievement of Pharmacy Budgets
- Development and Lecturing for Pharmacy, Physician, and Nursing Inservices
- Successful Pharmacy Performance in JCAHO and ACHA Surveys
- Narcotics Management and Monitoring
- Medication Use Processes QA/QI to Improve Administrative Processes

***Major Projects/Research:***

- Approval and Installation of Sure-Med Dispensing System
- Creation of Pharmacy Night Cabinet
- Re-Implementation of Nursing Floor Stock
- Re-Implementation of ICU Floor Stock
- Reengineering of Outdated Pharmacy Charge System to Capture Lost Hospital Revenue
- Automatic Interchange Protocols for Epogen/Aranesp, Primaxin/Merrem, and Levaquin/Cipro
- Development and Implementation of Formulary and Non-Formulary Processes
- Creation of Clinical Pharmacy Monitoring Protocols for Antibiotics and Epogen/Aranesp
- Development of Herbal Medication Policy
- Development of IV to PO Policy
- Rewriting Policy and Procedure Manual

***Teaching:***

- Development of Regular Inservices for Nursing, Pharmacy, and Physician Staff



- Training and Development of Pharmacy Staff (**Pharmacists, Technicians**)
- Competency Assessments for Pharmacy Staff (Pharmacists, Technicians), Nursing Supervisors

**Outcomes Research Manager**

1998- 2001

Global Health Outcomes Unit-North America Division

Pharmacia Corporation

- Held Position of Cardiovascular Specialist within Global Health Outcomes Unit
- Market Plans and Analysis for Product Teams (Liaison to Corvert and Fragmin Brand Teams)
- Development of National Yearly Business Plan for GHO Support for Cardiovascular Products
- Assignment of Cardiovascular Projects to other Research Managers for Implementation
- Dissemination of Cardiovascular Study Results within Company and Unit
- Project Manager for Phase IIIb and IV Health Economic and Outcomes Market Support Studies
- Qualification of Investigators/Sites for Company Studies
- Development, Design, and Tracking of Research Protocols
- Consultant on Health Economic and Outcomes Literature for External Customers
- Design and Development of Newsletters, Treatment Guidelines, and Algorithms
- Design and Development of Health-System and MCO-Specific Research Projects
- Market Plans and Analysis for Regional Sales Teams (Four States and National Accounts)
- Market Plans and Analysis for Product Teams (Liaison to Corvert and Fragmin Brand Teams)
- Development of National Yearly Business Plan for GHO Support for cardiovascular products
- Pharmacoeconomic and Research Presentations to Internal and External Customers

**Major Projects/Research:**

- Evaluation of the Effect of Overactive Bladder on Workplace Productivity
- Economic Model for Impact of Overactive Bladder on Employer Groups
- Evaluation and Implementation of a DVT Prophylaxis Guideline
- Implementation and Evaluation of an Outpatient DVT Management Program to Decrease LOS in a MCO
- Creation of a Electronic Ophthalmology Patient Database for Retrospective Research Queries
- Creation of a Computerized DUE for SNF Glaucoma Patients
- Physician Survey on Factors Related to Hospital Discharge on Outpatient Antibiotics
- Implementation and Evaluation of a Depression Treatment Guideline in a Physician Practice Group
- Outcomes Assessment of LMWH Therapeutic Interchange in Multiple Community Hospitals
- Medical and Pharmaceutical Claims Analysis of Glaucoma Patients in a Managed Care Plan
- Compliance and Persistency Evaluation of Overactive Bladder Agents in a Managed Care Plan
- Impact of Patient Adherence on Cost-effectiveness of Drug Therapy in Overactive Bladder
- Practice Use of Pharmacoeconomics in Florida Managed Care Plans and Institutions

**Teaching:**

- Preceptor for UF Pharmaceutical Administration Ph.D. student for industry research project.

**Acting Chief/Assistant Chief**

1996 - 1998

Drug Information and Pharmacoepidemiology Center

The University of Pittsburgh Medical Center and The University of Pittsburgh College of Pharmacy

- Management of clinical pharmacist staff
- Management of drug information pharmacy resident
- Scheduling and coordination of projects and deadlines with clinical pharmacy staff
- Adverse Drug Reaction Subcommittee Secretary
- Drug Information Questions/Consults
- Development of Drug Use and Disease State Management Protocols
- Evidence-Based and Pharmacoeconomic Evaluations of Medications for Formulary Management
- Medication Use Evaluations and Outcomes Evaluations for Formulary Management
- Forecast Assessments for New Pharmaceuticals Impact on Hospital Budget

**Major Projects/Research:**

- Development of a Pharmacy Department Webpage for Information Dissemination
- Pharmacoeconomic Evaluation of Ganciclovir Intravitreal Implant

- Pharmacoeconomic Evaluation of Amphotericin B versus ABCD
- Evaluation of CD-ROM Patient-Oriented Pharmaceutical Databases

**Teaching:**

- Main Preceptor in Drug Information Clerkship Rotation for University of Pittsburgh Doctor of Pharmacy Program
- Co-coordinator for Medication Information and Literature Evaluation class for University of Pittsburgh Bachelor of Science in Pharmacy Students
- Course Instructor for Profession of Pharmacy 3 class for University of Pittsburgh Doctor of Pharmacy Students
- Course Instructor in Contemporary Pharmacy Practice class for University of Pittsburgh Bachelor of Science in Pharmacy students
- Journal Club Coordinator for University of Pittsburgh Residency Programs
- Faculty Advisor for Pharmacy Policy Awareness Association for University of Pittsburgh School of Pharmacy
- Faculty Advisor for Doctor of Pharmacy Student

**GRANTS**

Unrestricted Grant, Hoechst Marion Roussel, 1996.  
Unrestricted Grant, Sequus Pharmaceuticals, 1997.

**PUBLICATIONS AND POSTERS**

Odedina FT, Sullivan JL, Nash R, et al. *Use of Pharmacoeconomic Data in Making Hospital Formulary Decisions.* American Journal of Health-System Pharmacy. Vol. 59, Number 15, 2002:1441-1444.

Yaldo A, Sullivan JL, and Li Zhiming.. *Factors Affecting Physicians' Decision Making to Discharge Patients From the Hospital Setting: A Case Study of Patients with Methicillin Resistant Staphylococcus Aureus (MRSA) Infections.* American Journal of Health-System Pharmacy. Vol. 58, Number 18, 2001:1756-1759.

Yaldo A, Li J, Sullivan JL, and Mozzafarri E. *Factors Affecting Physicians' Decision Making to Discharge Patients From the Hospital Setting: A Case Study of Patients with Methicillin Resistant Staphylococcus Aureus (MRSA) Infections.* Abstract and Poster Presentation at American Society of Health-System Pharmacists Annual Meeting, Philadelphia, Pennsylvania, June 2000 and Drug Information Association 36<sup>th</sup> Annual Meeting, San Diego, CA, June 2000.

Pankaskie M, Sullivan JL. *Health Care Web Portals.*, Journal of the American Pharmaceutical Association, Vol. 40, Number 1, January-February 2000, pgs. 117-188.

Margheim J, Stroup JW, Sullivan JL, and Vermeulen L. *Evaluation of Deep-Vein Thrombosis Prophylaxis and Outcomes of Orthopedic Surgical Patients in a 300-Bed Acute Care Hospital*, (P359E), American Society of Health-System Pharmacists Midyear Clinical Meeting, December 8, 1999.

Choi JA, Sullivan JL, Pankaskie M, Brufsky J. *Evaluation of Consumer Drug Information Databases.*, Journal of the American Pharmaceutical Association, Vol. 39, Number 5, September-October 1999, pgs. 683-687.

Pankaskie M, Sullivan JL. *On-Line Learning: Issues and Implications for Pharmacy Practice.*, Journal of the American Pharmaceutical Association, Vol. 38, Number 4, July-August 1998, pg. 508.

Pankaskie M, Sullivan JL. *On-Line Learning: Trends in Continuing Education*, Journal of the American Pharmaceutical Association, Vol. 38, Number 3, May-June 1998, pg. 382.

Pankaskie M, Sullivan JL. *Medical Information on the Internet: Fool's Gold or 24 Karat?*, Journal of the American Pharmaceutical Association, Vol. 38, Number 2, March-April 1998, pg. 237.

Pankaskie M, Sullivan JL. *Computers Open New Worlds, Pose New Problems*, Journal of the American Pharmaceutical Association, Vol. 38, Number 1, January-February 1998, pg. 101.

Ansani N, Sullivan JL. *Do Natural Products Guarantee Harmlessness?* , CME in Clinical Practice, UPMC Physician, Vol. 6, Number 8, September 1997, pgs.15-18.

Sullivan JL. *Strategies for Evaluation of Current Therapeutic Controversies in the Face of Information Overload* , Career Manager, APHA Publication for New Practitioners, On-line Publication, August 1997. (<http://www.aphanet.org/APHA/main/quality/carman.html>)

### **PUBLICATIONS AND POSTERS (CONTINUED)**

Sullivan JL. *Treating Systemic Fungal Infections with Amphotericin B Products*, CME in Clinical Practice, UPMC Physician, Vol. 5, Number 11, November 1996, pgs.13-15.

Sullivan JL, Knodel LC. *Caffeine Toxicity: Is it Time to Switch to Decaffeinated?*, Toxic Substance Mechanisms, Vol. 15, Number 2, April-June 1996, pgs. 145-147.

Sullivan JL. *Foscarnet*, Nursing Newsletter, H. Lee Moffitt Cancer Center, June 1993.

### **SCIENTIFIC REVIEW/EDITOR**

Co-Editor, Pharmacoinformatics Column, *Journal of the American Pharmaceutical Association*. 1998-2001.

Reviewer, *Journal of the American Pharmaceutical Association* 1997-2001.

Editor, Current Therapeutic Issues, *UTHSCSA Drug Information Newsletter*, Vol. 10, Number 2, Fall 1995.

### **PUBLIC INTERVIEWS**

*Stability and Proper Storage of Medications*, tip sheet developed for UPMC News Bureau for Press Release, Pittsburgh, Pennsylvania, August 1, 1997.

*Ocular Side Effects of Drugs*, telephone interview for HealthWise a consumer information magazine for Blue Cross/Blue Shield of Pennsylvania, Pittsburgh, Pennsylvania, April 2, 1997.

*DHEA and Melatonin*, AgeWise Weekly, television program, WQEX 16, Pittsburgh, Pennsylvania, March 19, 1997.

*Aspirin*, Our Healthy Community, radio program, WCXJ 1550, Pittsburgh, Pennsylvania, February 7, 1997.

### **PRESENTATIONS**

#### ***Invited***

*Trends in Controlled Substances in Medicare Part.:* June 5, 2024. Medicare Advantage Organizations (MAOs) and Prescription Drug Plans (PDPs) Fraud, Waste, and Abuse (FWA) Training.

*DEA Listening Sessions: Telemedicine Regulations on Prescribing Controlled Substances.* September 12, 2023.

*Generic Cost Manipulation. (co-presented)* Schemes for Health Care Fraud Investigators and Analysts Program. National Health Care Anti-Fraud Association (NHCAA). April 2022.

*I-MEDIC Invoice Reconciliation Training. (co-presented)* Presented to Department of Justice and Office of the Inspector General staff nationally via Zoom, January 2022.

*Generic Cost Manipulation. National Health Care Anti-Fraud Association (NHCAA) Annual Training.* Co-Presented Virtually. November 2021.

*Generic Cost Manipulation.* Co-Presented at CMS-Center for Program Integrity Meeting. August 2021.

*I-MEDIC Overview.* Presented Virtually to Federal Employee Program Special Investigations Unit Staff. April 2021.

*Fraud Investigation Challenges During COVID-19..* Co-Presented at CMS-Center for Program Integrity Meeting to Medicare Part C and D Sponsors. July 2020.

*Department of Justice EDPA Training: Invoice Reviews.* Presented Virtually May 2020.

*HHS/OIG/OI Part C and D Trainings: Invoice Reviews.* Multiple sessions presented virtually to different geographic regions. May 2020.

*Telemarketing/Telemedicine Schemes.* CMS-CPI Virtual Meeting. January 2020.

*Footbath Scheme: 2019 Medicare Advantage Organizations & Prescription Drug Plans Fraud, Waste, and Abuse Training.* CMS-CPI. Miami, Florida. July 2019.

*Transmucosal Immediate Release Fentanyl (TIRF) Project and Investigations: 2019 Medicare Advantage Organizations & Prescription Drug Plans Fraud, Waste, and Abuse Training.* CMS-CPI. Miami, Florida. July 2019.

*CMS Opioid Collaboration Missions:* Tampa, Florida. April 2019.

**PRESENTATIONS (CONTINUED)**

*Opioid Presentation*. Medicare Parts C&D Fraud, Waste, and Abuse Collaboration Missions. Baltimore, MD. October 2018.

*NBI MEDIC Opioid Related Pharmacist Reviews*. Presented on HHS-OIG community of practice call per invitation. October 2018.

*CMS Opioid Collaboration Missions*: Miami, Florida. August 2018.

*Fraud in Compounding*. Annual Training to State of Florida Pharmacy Inspectors. Orlando, Florida. May 2018.

*Prescriber Risk Score: Schedule II Controlled Substances*, a breakout group discussion at CMS Fraud, Waste, and Abuse Meeting. Miami, Florida, September 2016.

*Controlled Substance Refills*, a breakout group discussion at Centers for Medicare and Medicaid Services (CMS) Fraud, Waste, and Abuse Meeting. Baton Rouge, Louisiana, September 2014.

*Cost-Effective Medication Management in Medicare Populations*, a presentation to Florida Healthcare Association, Miami Chapter. Miami, Florida, May 2004.

*Cost-Effective Medication Management in Medicare Populations*, a presentation to Florida Healthcare Association, Sarasota Chapter. Sarasota, Florida, March, 2004.

*Outcomes Research Exchange: An Interactive Discussion of Challenges and Solutions*, an ACPE presentation given at the Academy of Managed Care Pharmacy Annual Meeting. Phoenix, Arizona, April 6, 2000.

*Outcomes Research: A Pharmaceutical Industry Perspective*, Department of Pharmacy Administration Seminar at the University of Florida College of Pharmacy. Gainesville, Florida, March 29, 2000.

*Outcomes Research Forum: A Proposal*, given to the Pharmaceutical Industry Relations Committee (PIRC) of the Academy of Managed Care Pharmacy. Minneapolis, Minnesota, April 29, 1999.

*Age and Gender Specific Pharmacy: Incontinence*, ACPE Presentation at the Florida Society of Health-System Pharmacists Annual Meeting in Orlando, Florida, August 20, 1999.

*Skills Enhancement Workshop: Technology for the Patient Care Pharmacist*. Gave presentation on CD-ROM Databases at American Pharmaceutical Association Meeting in San Antonio, Texas, March 7, 1999.

*Health Economics and Outcomes Research*, ACPE Presentation at Perspectives in Healthcare, a MedEcon Regional Meeting for Hospital Administrators and Pharmacists, Atlanta, GA, October 15, 1998.

*Herbal Information Resources*, part of Herbal Science Symposium at the American Pharmaceutical Association Meeting in Miami, Florida, March 12, 1998.

*Documenting Your Teaching*, part of Welcome and Orientation for Incoming Professors at the University of Pittsburgh. Given to University of Pittsburgh Faculty Hired in the Last Five Years, Pittsburgh, Pennsylvania, August 25, 1997.

*Pharmacologic Treatment of Nicotine Addiction*, part of CE symposium Tobacco Use: Prevention & Cessation Strategies for Health Care Professionals for Pittsburgh Cancer Institute. Given to Dentists and Dental Hygienists, Pittsburgh, Pennsylvania, April 30, 1997.

*Pro-Active Career Planning for Junior Faculty*, Junior Faculty at the University of Pittsburgh, Pittsburgh, Pennsylvania, January 27, 1997.

*Cost-effective Prescribing of Antibiotics*, CME Presentation to Physicians in UPMC outreach hospital, PA, October 9, 1996.

*Physician s Online*, Dolph Briscoe Medical Librarians, San Antonio, Texas, May 2, 1996.

*Drug Treatment of Parkinson's Disease*, Parkinson's Disease Support Group, Gainesville, Florida, June 1995.

*Drug Treatment of Parkinson's Disease*, Parkinson's Disease Support Group, Inverness, Florida, February 1995.

*Careers in the Health-Care Field*, Junior High School Students, Jacksonville, Florida, January 1995.

*Drug Treatment of Parkinson's Disease*, Parkinson's Disease Support Group, Ocala, Florida, August 1994.

*Butorphanol-Should It Be a Schedule Drug?*, FPA House of Delegates, Naples, Florida, July 1994.

*Opportunities in Pharmacy*, students at Hawthorne High School, Hawthorne, Florida, October, 1993.

**Intramural**

*Revocations Training. I-MEDIC Clinical Team*. Presented via Zoom. August 2021.

*Invoice Review Process*. I-MEDIC Annual Training. Presented via Zoom. June 2022.

*SME Open Forum/Panel*. I-MEDIC Annual Training. Presented via Zoom. November 2021.

*Emerging Trends in Clinical Fraud Investigations*. I-MEDIC Annual Training. Easton, Maryland. Noevember 2019.

**PRESENTATIONS (CONTINUED)**

*Investigators and Clinical Reviews*. I-MEDIC Annual Training. Easton, Maryland. November 2019.

*How to be a Witness*. Co-presented with legal counsel. I-MEDIC Clinical Team. Presented via Zoom. August 2021.

*Revocation Assessment for Clinical Staff*. I-MEDIC Clinical Team. Presented via Zoom. February 2021.

*Collaboration and Challenges in the Time of COVID-19*. Qlarant All Associates Meeting. Co-Presented Virtually with UPIC SW, UPIC W, and NBI MEDIC. May 2020.

*Poison Prevention Presentations*. Dale Mabry Elementary School and Seaborn Day School. March-May 2009.

*Quality Assurance Quarterly Presentations: Various Nursing Homes*, Florida. March 2003-July 2005.

*The Economic Impact of OAB*, UroNet Program for Urologists from State of New York, Bal Harbour, Florida, March 5, 1999.

*Medical Economics and the Impact of Ooveractive Bladder: An Update*, Pinnacle Program for Urologists for States of Florida and Alabama. Miami, Florida, August 14, 1999.

*Pharmacoeconomics*, Pharmaceutical Outcomes Management Class for Doctor of Pharmacy Students at the University of Florida College of Pharmacy, Gainesville, FL, October 28, 1998.

*Tizanadine Review*, UPMC Formulary Subcommittee, Pittsburgh, PA, August 26, 1997.

*Pharmacoeconomics*, University of Pittsburgh Pharmacy Residents, Pittsburgh, PA, July 10, 1997.

*Donepezil Review*, UPMC Pharmacy and Therapeutics Committee, Pittsburgh, PA, July 8, 1997.

*Literature Evaluation and Journal Club*, University of Pittsburgh Pharmacy Residents, Pittsburgh, PA, July 1, 1997.

*Donepezil Review*, UPMC Formulary Subcommittee, Pittsburgh, PA, June 24, 1997.

*Computerized Pharmacy Resources*, Introduction to Medical Informatics Class for the University of Pittsburgh School of Information Sciences, Pittsburgh, PA, June 13, 1997.

*Amphotericin B Lipid Products*, UPMC Pharmacy and Therapeutics Committee, Pittsburgh, PA, May 13, 1997.

*Amphotericin B Lipid Products*, UPMC Formulary Subcommittee, Pittsburgh, PA, April 22, 1997.

*Natural Products: Part 2*, Contemporary Pharmacy Practice Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, March 28, 1997.

*Natural Products: Part 1*, Contemporary Pharmacy Practice Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, March 26, 1997.

*Diabetes: Glucose Monitoring*, Contemporary Pharmacy Practice Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, March 21, 1997.

*Use of TPA in Stroke*, UPMC Pharmacy and Therapeutics Committee, Pittsburgh, PA, February 11, 1997.

*Ganciclovir Intravitreal Implant*, UPMC Pharmacy and Therapeutics Committee, Pittsburgh, PA, November 12, 1996.

*The Clinical Trial: Discussion, Bibliography, Application in Clinical Practice*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, December 2, 1997.

*The Clinical Trial: Interpreting Graphs and Tables*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 25, 1997.

*The Clinical Trial: Results, p-values, Type I and Type II errors*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 20, 1997.

*The Clinical Trial: Methods, Sampling, Sample Size, Randomization, Blinding*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 18, 1997.

*The Clinical Trial: Methods, Study Design, Inclusion and Exclusion Criteria, Controls, Outcome Variables*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 13, 1997.

*The Clinical Trial: Title, Abstract, Introduction, The question*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 11, 1997.

*Meta-analysis/Review Articles*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 6, 1997.



**PRESENTATIONS (CONTINUED)**

*Descriptive Papers and Descriptive Statistics*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, November 4, 1997.

*Variables/Types of Data, Descriptive Statistics*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, October 30, 1997.

*Introduction to Drug Literature Evaluation/Categorizing Primary Literature*, with Gary Stoehr to Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy Students, Pittsburgh, PA, October 28, 1997.

*Maximizing the Utility of Information Provided by Pharmaceutical Manufacturers*, Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, September 23, 1997.

*Searching the Internet for Medical Information*, Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, September 17, 1997.

*On-Line Drug Information Resources*, Medication Information and Literature Evaluation Class for Bachelor of Science in Pharmacy students, Pittsburgh, PA, September 16, 1997.

*Evaluation of Information from Pharmaceutical Manufacturers*, Biostatistics and Literature Evaluation Class for Doctor of Pharmacy students, San Antonio/Austin, TX, April 29, 1996.

*Meta-analysis: Powerful evaluative tool or meta-mess?*, Biostatistics and Literature Evaluation Class for Doctor of Pharmacy students, San Antonio/Austin, TX, April 22, 1996.

*Toxicity of Nutraceuticals*, South Texas Poison Center Specialists, San Antonio, TX, April 9 and 12, 1996.

*Peripheral Vasculature and Pulses Laboratory*, Physical Assessment Laboratory Class for Doctor of Pharmacy students, Austin, TX, March 1, 1996.

*Postmenopausal Hormone Replacement Therapy and the Risk of Breast Cancer*; Resident Rounds presentation to Pharmacy Faculty, San Antonio/Austin, TX, January 12, 1996.

*Drug Information Centers*, South Texas Poison Center Specialists, San Antonio, TX, April 2, 1996.

*Adverse Drug Reaction Reporting*, Pharm.D. students in Introduction to Drug Information Course, PHR 180T, The University of Texas at Austin College of Pharmacy, Austin/San Antonio, Texas, November 28, 1995.

*Asthma*, Advanced Pharmacotherapy I Laboratory session with Pharm.D. students, The University of Texas at Austin College of Pharmacy, Austin, Texas, November 21, 1995.

*ASHP Midyear*, Pharm.D. Students at The University of Texas at Austin College of Pharmacy, Austin/San Antonio, Texas, November 17, 1995.

*Formulary Inservice*, Clinic Staff at The Community Clinic, Inc., San Antonio, Texas, October 25, 1995.

*Use of the "Morning-after" Pill in a Pediatric Emergency Room: Practical Guidelines*, Hospital Staff at All Children's Hospital, St. Petersburg, Florida, April 1995.

*Hypertension Treatment in the Elderly*, Pharmacy Staff at Driftwood Pharmacy Services, Lake Mary, Florida, March 1995.

*Therapeutic Advances in the Treatment of Depression*, Pharmacy Staff at Florida Hospital, Orlando, Florida, March 1995.

*Poison Prevention*, Kindergarten through Sixth Grade Students at Ochwilla Elementary, Ochwilla, Florida, January 1995.

*IV Magnesium in Acute Asthma*, University Medical Center Pharmacy Staff, Jacksonville, Florida, January 1995.

*Common Chemotherapeutic Regimens*, Internal Medicine Team at V.A.M.C., Gainesville, Florida, November 1994.

*Adverse Drug Reactions with Chemotherapy*, Internal Medicine Team at V.A.M.C., Gainesville, Florida, November 1994.

*Famvir Drug Monograph*, H. Lee Moffitt Cancer Center Clinical Pharmacists, Tampa, Florida, September 1994.

*Treatment of Post-Herpetic Neuralgia*, H. Lee Moffitt Cancer Center Clinical Pharmacists, Tampa, Florida, September 1994.

*Nitrate-Free Intervals*, Outpatient Pharmacy Staff at Shands Hospital, Gainesville, Florida, August 1994.

*Antidiabetic and Antihyperlipidemic Agents*, Cardiac Rehabilitation Patients at JFK Medical Center, Atlantis, Florida, July 1994.



### **PRESENTATIONS (CONTINUED)**

*Current Treatment Guidelines for Hypertension*, Pharmacy Staff at JFK Medical Center, Atlantis, Florida, July 1994.

*Gabapentin Monograph*, H. Lee Moffitt Cancer Center Clinical Pharmacists, Tampa, Florida, June 1994.

*Butorphanol-Should it be a schedule drug?*, H. Lee Moffitt Cancer Center Clinical Pharmacists, Tampa, Florida, June 1994.

*Drug Treatment of Parkinson's Disease*, University of South Florida Family Practice Physicians and Medical Students, Tampa, Florida, May 1994.

*Ask First: Poison Prevention Presentation*, kindergarten and first-grade students in elementary schools, Gainesville, Florida, April 1994.

### **TEACHING, MENTORING, AND ADVISING**

- Faculty Advisor, WPPD (Working Professional Pharm.D. Program), University of Florida College of Pharmacy, 2009.
- Clinical Affiliate Assistant Professor, Department of Health Care Administration at the University of Florida College of Pharmacy, 2000 to present
- Faculty Advisor, UF College of Pharmacy Graduate Student, Department of Health Care Administration at the University of Florida College of Pharmacy, 2000-2001.
- Adjunct Assistant Professor, Division of Economic, Social & Administrative Sciences, FAMU College of Pharmacy & Pharmaceutical Sciences, 2000-2003
- National Lecturer, University of Pittsburgh Smoking Cessation Program, 1997-2001
- Assistant Professor, Department of Pharmacy Practice, College of Pharmacy at the University of Pittsburgh, 1996-1997
- Clinical Instructor, Clinical Pharmacy Program, College of Pharmacy at the University of Texas Health Science Center at San Antonio and the University of Texas at Austin, 1995-1996
- Organic Chemistry Laboratory Teaching Assistant, Department of Chemistry, University of Florida, 1991

### **PROFESSIONAL ORGANIZATIONS**

#### ***National***

Academy of Managed Care Pharmacy

Member of the Taskforce on Outcomes Research Forum

American College of Clinical Pharmacy

American Pharmaceutical Association

Delegate for APPM at annual convention in Miami, FL, March 1998.

Delegate for APPM at annual convention in Los Angeles, CA, March 1997.

American Society of Health-System Pharmacists

Consortium for the Advancement of Medication Information, Policy, and Research

Kappa Epsilon

Phi Lambda Sigma

Delegate for University of Florida at annual convention in Dallas, TX, March 1993.

Speaker of the House of Delegates in Orlando, FL, March 1994.

Rho Chi

Delegate for University of Florida at annual convention in Seattle, WA, March 1994.

#### ***State and Local***

Alachua County Association of Pharmacists

Florida Pharmacy Association

Member of the Educational Committee 1999-2000

Member of the Florida Foundation Board, 2000-2002

Florida Society of Hospital Pharmacists

Delegate for University of Florida at Annual Convention in Orlando, FL

**COMMUNITY SERVICE**

Troop Leader for Girl Scout Troop #640, 2010-2016

Children's Family Resource Advisory Board, Central Tampa 2014-2021

(Served as Vice-President and Treasurer of Board)

H.B. Plant High Danceros Team Communications Parent 2022-2023

**REFERENCES**

Available Upon Request

**JOHANNA L. SULLIVAN, PHARM. D., TESTIMONY LIST**

1. *United States v. Mokbel*, Case No. 4:21-cr-00103 (S.D. Tex.)
2. *United States v. Hamaed, et al.*, Case No. 2:20-cr-20162 (E.D. Mich.)
3. *United States v. Talbot*, Case No. 2:21-cr-00111 (E.D. La.)
4. *United States v. Ghearing*, Case No. 2:19-cr-00010 (M.D. Tenn.)
5. *United States v. Sachy, et al.*, Case No. 5:18-cr-00048 (M.D. Ga.)
6. *United States v. Valentino, et al.*, Case No. 2:20-cr-00309 (E.D. Pa.)
7. *United States v. Chun, et al.*, Case No. 8:20-cr-00120 (M.D. Fla.)
8. *United States v. Lucas*, Case No. 2:21-cr-20199 (W.D. Tenn.)

**Mitchell S. Blum, MS, CFE**

500 E. Zack St. #215 \* Tampa, Florida 33602

813-440-7393

Email: Mitchell.Blum@oig.hhs.gov

**EDUCATION**

**M.S.** University of Louisville, May 2016  
Master of Science in Criminal Justice

**B.S.** University of Louisville, December 2007  
Bachelor of Science in Justice Administration

**H.S. Diploma** Ballard High School, Louisville, KY, May 2003

**PROFESSIONAL EXPERIENCE**

U.S. Department of Health and Human Services – Office of Inspector General – Tampa, FL

**Special Agent (Series 1811/Grade GS-13, Step 6) – (Full Time 50+ hrs/week)** (July 2018 – Present)

- Experienced Special Agent leading multi-million-dollar criminal and civil investigations with nationwide implications related to crimes against the U.S. Department of Health and Human Services programs and operations.
- Investigate complex fraud cases involving Medicare and Medicaid programs, including schemes perpetrated by external individuals and businesses, as well as fraud related to COVID-19.
- *Acting Operations Officer, Investigations Branch* – (October 2024 - December 2024)
  - Served as a primary liaison with high-level Department of Justice officials to enhance collaboration, strengthen partnerships, and advance the agency's mission.
  - Oversaw and facilitated Medicare Part C investigations across all OIG components, ensuring effective oversight of program activities and vulnerabilities.
  - Led nationwide initiatives across multiple regions, providing regular progress updates to senior management.
  - Delivered impactful training and outreach presentations, including a session for approximately 100 physicians from a non-profit hospital corporation at the Philadelphia Regional Office's request.
- *Acting Assistant Special Agent in Charge, Kansas City Regional Office* – (February 2023 - April 2023)
  - Supervised a team of seven Special Agents across a ten-state territory, ensuring effective communication and operational coordination.
  - Facilitated the flow of information from SAC/AIGI/DIG to the squad, aligning with agency directives and goals.
  - Evaluated incoming cases and complaints, assigning them to squad agents as appropriate.
  - Provided expert guidance and support to squad agents, enhancing case management and operational effectiveness.
  - Drafted and submitted a CIGIE award nomination for a squad agent, resulting in recognition as a national award winner.
- Served as the *primary relief supervisor* for the Tampa Field Office (January 2023-June 2023)
- Frequently serve as the *Acting Assistant Special Agent in Charge* during the Tampa ASAC's absence.
- Certified Mentor and Field Training Agent for newly hired Special Agents, providing daily guidance and support to assigned mentees.

- Represented HHS-OIG at the January 2024 MDL U.S. Attorney's "Agency Head" meeting, where I presented agency priorities and facilitated inter-agency coordination and networking on high-profile case matters to elected officials, senior executives, and regional police chiefs.
- Chairs Tampa Inspector General's Council and Tampa Health Care Fraud Working Group meetings in the absence of the regular chair.
- Delivered a Part C case study at the I-MEDIC 2024 national training conference in The Villages, Florida, at the request of senior I-MEDIC leadership.
- Participated as a panelist in a six-member discussion of senior government officials at the DOJ Criminal Division Health Care Fraud Unit's 2024 national training conference in Bethesda, Maryland, focusing on best practices for nationwide case coordination.
- Coordinate criminal and civil investigations with external law enforcement partners, including the FBI, Defense Criminal Investigative Service (DCIS), U.S. Department of Veterans Affairs – Office of Inspector General (VA-OIG), U.S. Small Business Administration – Office of Inspector General (SBA-OIG), and the Internal Revenue Service – Criminal Investigations (IRS-CI).
- Conduct advanced data analytics to assess the viability and validity of investigations and complaints.
- Conduct witness, target, and confrontational interviews, followed by comprehensive written reports.
- Execute electronic and physical search warrants, make arrests, and perform other law enforcement duties in often hazardous and stressful situations.
- Digital Evidence Acquisition Specialist skilled in forensically imaging computers, external hard drives, memory devices, cellular phones, and other electronic media while ensuring chain of custody and record authentication.
- Lead Tech Agent in the Tampa Field Office, responsible for overseeing consensual monitoring, surveillance platforms, electronic recording devices, CALLYO recorded phone lines, live wires, and other sensitive law enforcement equipment.
- Provide protective services to the Secretary of the U.S. Department of Health and Human Services and other key department officials as needed.
- Testify in courtroom proceedings as needed.

Department of Justice - Office of the United States Attorney, Middle District of Florida - Jacksonville, FL  
**Investigator (Series 1810/Grade GS-12, Step 7) - (Full Time 40 hrs/week)** (January 2016 – July 2018)

- Plan and conduct multi-million-dollar criminal and civil fraud investigations independently or in collaboration with federal agents, focusing primarily on violations of the False Claims Act, Stark Law, and Anti-Kickback Statute.
- Specialized in health care and procurement fraud targeting federal programs and agencies.
- Review incoming referrals from law enforcement agencies, program integrity departments, and qui tam filings to assess the basis for federal prosecution.
- Collaborate and communicate regularly with Assistant United States Attorneys to identify potential violations and causes of action.
- Identify, locate, and interview witnesses, and conduct surveillance in collaboration with appropriate law enforcement agencies.
- Examine, analyze, present, and secure critical evidence, including documents, emails, policies, text messages, payrolls, financial statements, billing statements, invoices, correspondence, computer data, and other essential records for prosecution.
- Conduct complex data analysis to identify fraudulent or aberrant billing patterns.
- Assist in preparing for litigation and trials.
- Testify in court regarding investigations as needed.

Humana - Jacksonville, FL

**SIU Investigator – (Full Time 40 hrs/week)**

(October 2015 – January 2016)

- Manage a substantial caseload of complex healthcare fraud investigations, specializing in laboratories and referring physicians nationwide.
- Provide expertise in each assigned line of business (Medicare, Medicaid, and Commercial)
- Conduct complex data analysis using Microsoft Office Suite and internal data systems to identify aberrant billing and coding trends.
- Conduct member and provider interviews as determined through investigative steps.
- Prepare professional reports for law enforcement agencies and health insurance companies, detailing fraudulent activities for further investigation and prosecution.
- Develop strategies for proactively detecting fraudulent activity.
- Foster relationships within the healthcare program integrity community to enhance communication, share information, and identify trends across business segments or geographic areas.
- Collaborate with and various investigative teams and researchers.
- Testify in criminal and civil matters as required.

SafeGuard Services, LLC - Jacksonville, FL

**Fraud Investigator/Mentor – (Full Time 40 hrs/week)**

(July 2014 – October 2015)

- Senior Investigative Member of the Zone Program Integrity Contractor (ZPIC) for Florida, Puerto Rico, and the Virgin Islands.
- Conduct in-depth fraud and compliance investigations on Part A and B providers, home health and hospice agencies, and durable medical equipment companies to safeguard Medicare and Medicaid trust funds.
- Prepare comprehensive reports with actionable recommendations for the Centers for Medicare and Medicaid Services (CMS) to address program vulnerabilities and enhance program safeguards against fraud.
- Assigned to the Medicare/Medicaid team, focusing on investigations posing risks to both federal and state programs.
- Perform complex data analysis using Microsoft Office Suite and Business Objects Platform to identify fraudulent trends and patterns for investigations.
- Conduct in-person interviews and on-site audits of medical agencies and professionals throughout Florida.
- Refer investigative findings to HHS-OIG and the FBI for prosecution.
- Review Suspicious Activity Reports (SARs) from SafeGuard Services Intelligence Analysts for potential healthcare fraud leads.
- Mentor and train newly hired staff on investigative methods, corporate procedures, and processes.

City of Frankfort Police Department - Frankfort, KY

**Field Training Police Officer – (Full Time 40+ hrs/week)**

(March 2011 – June 2014)

- Conduct citywide patrols, investigations, and enforcement functions for a community of nearly 28,000 residents.
- Attend court hearings, grand jury proceedings, and trials to provide testimony on behalf of the Commonwealth.
- Served as a first-line supervisor while training, mentoring, and evaluating new recruits for the department.
- Contracted to provide security at the Frankfort Regional Medical Center.
- Member of the Mobile Field Force – Riot Control Unit

Kentucky Department of Corrections - Louisville, KY

**Probation and Parole Officer – (Full Time 40 hrs/week)**

(September 2008 - March 2011)

- Supervised a caseload of felony offenders for the Jefferson County Circuit Courts and Parole Board.



- Conducted investigations and prepared case reports on individual offenders' progress and new criminal activity.
- Provided professional testimony in the Jefferson County District and Circuit Courts of Kentucky.

### **CERTIFICATIONS**

<u>Certified Kentucky Law Enforcement Officer</u>	(2011)
<u>Certified Field Training Officer</u>	(2013)
<u>Investigative Skills for Patrol Certification</u>	(2013)
<u>"Leadership is a Behavior" 40 Hour Development Course</u>	(2014)
<u>Certified Florida Law Enforcement Officer</u>	(2014)
<u>Certified Fraud Examiner (ACFE)</u>	(2015)
<u>FLETC Criminal Investigator Training Program Graduate – CITP</u>	(2018)
<u>Digital Evidence Acquisition Specialist (DEAS)</u>	(2021)
<u>HHS Tampa Field Office Lead Tech Agent</u>	(2021)
<u>Certified Mentor/Training Agent for HHS-OIG</u>	(2023)
<u>GrayKey Certified Operator</u>	(2023)
<u>NATIA Certified Technical Investigator (CTI)</u>	(2024)
<u>FLETC Covert Electronic Surveillance Program (CESP)</u>	(2024)
<u>Miami Region Leadership Development Program</u>	(2024)

### **SPECIAL ACHIEVEMENTS**

<u>University of Louisville's Deans List</u>	(2007)
<u>DOCJT Basic Academy Class Leadership - Adjutant</u>	(2011)
<u>Kentucky Governor's Award for Impaired Driving Enforcement</u>	(2012)
<u>Second Shift Officer of the Month (x4)</u>	(2012-2014)
<u>Interviewing &amp; Kinesics Training Presentation</u>	(2014)
<u>Published in Florida Bar Health Law Updates - Hospice Abuse</u>	(2016)
<u>Kenneth J. Marshall Award Nominee</u>	(2016)
<u>FLETC Driver's Training Award</u>	(2018)
<u>Tampa IG Council Award – Case of the Year</u>	(2019)
<u>DME/Telemedicine Fraud Training Presentation to OPM-OIG</u>	(2020)
<u>Highest Evaluation Rating of "Outstanding"</u>	(2020 & 2021 & 2022 & 2023 & 2024)

<u>HHS IG's Cooperative Achievement Award</u>	(2021)
<u>Federal Bureau of Investigation's (FBI) Director's Award</u>	(2021)
<u>HHS-OIG Miami Regional Office Agent of the Year</u>	(2021)
<u>DOJ Criminal Division HCFU Investigative Initiative Award</u>	(2021)
<u>USAO Outstanding Agent of the Year – Complex Economic Crimes</u>	(2021 & 2022 & 2023)
<u>Lead Case Agent Presented in HHS Semi-Annual Report to Congress</u>	(2022)
<u>Tampa IG Council Award – Health Care Fraud Investigation</u>	(2022 & 2023)
<u>Tampa IG Council Award – Complex Financial Investigation</u>	(2022)
<u>HHS IG's Bronze Medal for Outstanding Employee of the Year</u>	(2023)
<u>DOJ Criminal Division HCFU Investigative Initiative Award</u>	(2023)
<u>USAO Outstanding Agent of the Year – Civil Fraud Investigations</u>	(2024)
<u>Tampa IG Council Award – Civil/ACE Investigation Achievement</u>	(2024)
<u>DOJ Criminal Division HCFU Investigative Initiative Award</u>	(2024)
<u>DOJ Criminal Division HCFU Leadership Award</u>	(2024)